

(SI) 交收指示 / (ISI) 投資者交收指示

CLIENT NAME

A/C NO

賬戶名稱

賬戶編號

| | | |
|--|---|--|
| Instruction Type 指示類別 | <input type="checkbox"/> Receive of Securities 存入證券 | <input type="checkbox"/> Delivery of Securities 交付股票 |
| Counterparty I.D. 交收對手編號 | | Counterparty Name 交收對手名稱 |
| Foreign Market Counterparty Clearing No. 國外市場交收對手結算編號: Clearstream / Euroclear/ CMU | | |
| Counterparty Contact Person 交收對手聯絡人 | | |
| Counterparty Contact No. 交收對手聯絡電話 | | Counterparty Contact Email 交收對手聯絡電郵 |
| Payment instructions: 付款指示 | <input type="checkbox"/> Free of Payment (FOP) 無需付款交收 | <input type="checkbox"/> Delivery Versus Payment (DVP) 貨銀對付交收 <input type="checkbox"/> Settlement Amount 交收金額 _____ |

| Stock Code / ISIN Code 股票編號 / 國際證券識別碼 | Stock Name 股票名稱 | Quantity 數量 |
|--|--------------------|----------------|
| 1) | | |
| 2) | | |
| 3) | | |
| 4) | | |
| 5) | | |
| 6) | | |
| 7) | | |
| 8) | | |
| 9) | | |
| 10) | | |

Remarks

備註: _____

Please debit my/our account for all handling charges in related to the settlement instructions 請於 本人/吾等賬戶扣除有關交收手續費

Client's Signature (Company chop)

客戶簽署(公司蓋印)

Date

日期: _____

 CCASS Participant ID 中央結算系統參與者編號: **B01523**

 DCASS Customer Code 期貨參與者編號: **CEVL**

| FOR OFFICE USE ONLY 內部專用 | | | | |
|--------------------------|------|--------------|------------|-------------|
| S.V. | A.E. | Inputted by: | Checked by | Approved by |
| | | | | |